**COLUMBIA COUNTY COMMUNITY HEALTHCARE CONSORTIUM, INC.**

**MEETING NOTES**

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| **Committee: Corporate Compliance** | **Date: September 1, 2021** |
| **Board Members Attending: Art Proper, Theresa Lux, Dorothy Urschel, Dan Almasi** | **Board Members Absent: None**  |
| **Staff Members Attending: Lisa Thomas**  |

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| **TOPIC DISCUSSED** | **DISCUSSION SUMMARY** |
| Welcome New Committee Members | The Committee welcomed Dorothy Urschel and Dan Almasi.  |
| Inappropriate Receipt of Confidential Information | There have been four (4) instances since the last meeting. In each instance, the agencies that had incorrectly transmitted the information were notified, the documents were destroyed, and the log was updated.  |
| Breaches of Confidential Information | There have been no breaches of confidential information by the Healthcare Consortium. |
| Medicaid Exclusions | The Office Manager performs these monthly for staff and Board members. There have been no findings. |
| Review of Disclosure of Financial Interest Form and Follow-up from the Previous Meeting | Claire Parde updated her disclosure form as the Organization has investment accounts managed by Terrence Pelliterri, CFP, at North Country Financial Group and effective Monday, July 19, 2021, her husband, Kurt Parde, joined North Country Financial Group as a financial advisor. Her spouse will not have a role in the management of the agency’s investment accounts, nor access to any information pertaining thereto. The Committee reviewed the form and does not have any concerns as long as Claire is not the sole person making decisions about the agency’s investments. **Lisa will follow up with the Committee in regards to investments and decision-making and if we have a policy and procedure on how we make investments.** At the last meeting, the question was raised whether ALL County employees on the Board should be considered non-Independent Directors because they all work for one employer—Columbia County--which collectively has funds flowing to and from the HC in excess of the threshold. Claire consulted with Mike West, an attorney with NYCON, and based on criteria outlined by him, *all* County employees would actually be Independent Directors. However, out of an abundance of caution and perception, the Committee feels we should leave the current classifications of the 5 County employees as they are. **The Committee asked that Lisa send them clear definitions of Independent Director vs. non-Independent Director.** |